

April 9, 2004

To: Commissioner of Patents and Trademarks
Washington, D.C. 20231.

Fr: George O. Saile Reg. No. 19,572
28 Davis Avenue
Poughkeepsie, N.Y. 12603

Subject:

Continuaton of
Serial No.: 10/411,347 4/10/03

KUO-CHI TU, CHUN-YAO CHEN, HUEY-CHI CHU

METHOD OF FABRICATING AN EMBEDDED DRAM
FOR METAL-INSULATOR-METAL (MIM) CAPACITOR
STRUCTURE

PRELIMINARY AMENDMENT

Dear Sir:

This is a preliminary amendment for the above referenced Continuation. Please
amend the above identified application for patent as follows:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States
Postal Service as first class mail in an envelope addressed to: Commissioner of Patents
and Trademarks, Washington, D.C. 20231, on April 9, 2004.

Stephen B. Ackerman, Reg. No. 37,761

Signature/Date



4/9/04

March 30, 2004

To: Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Fr: Stephen B. Ackerman, Reg. No. 37,761
28 Davis Avenue
Poughkeepsie, N.Y. 12603

Subject: CONTINUATION PATENT APPLICATION OF

Serial No. 10/411347
Filing Date 4/10/03

K. C. TU ET AL

"METHOD OF FABRICATING AN
EMBEDDED DRAM FOR METAL-
INSULATOR-METAL (MIM) CAPACITOR
STRUCTURE"

PRELIMINARY AMENDMENT

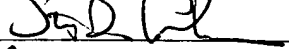
Dear Sir:

Please amend the above identified application for patent as follows:

CERTIFICATE OF MAILING

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Stephen B. Ackerman, Reg. No. 37,761

Signature 
Date April 9, 2004

Amendments to the Specification begin on page 3 of this paper.

Amendments to the Claims are reflected in the listing of the Claims which begins on page 4 of this paper.

Remarks/Arguments begin on page 9 of this paper.

TS01-1440C

IN THE TITLE

Please amend the title as follows:

~~METHOD OF FABRICATING AN EMBEDDED DRAM FOR METAL-INSULATOR-~~
METAL (MIM) CAPACITOR STRUCTURE